

VeDAS Implementation	BO ISIN Inquiry
User Manual - DP	

## **8 BO-ISIN Inquiry**

### **8.1 Purpose**

A BO can inquire about his/ her holdings for a security in CDBL. This inquiry displays the balances and account details for that particular BO.  
User can inquire on both BO ID-ISIN and ISIN accounts.

### **8.2 Modes of Operation**

This BO-ISIN Inquiry is available in online mode.

### **8.3 Access Restrictions**

DP can inquire balances of any BO ID / ISIN belonging to him in the VeDAS system.

## 8.4 Screen Layout

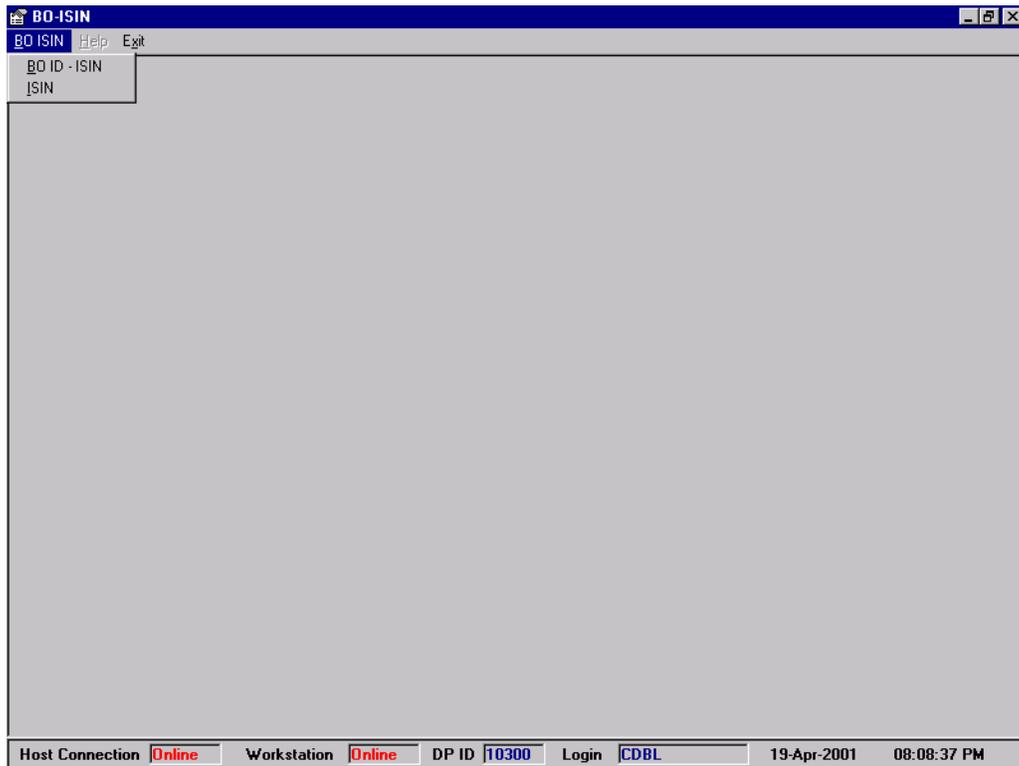


Figure 8.1. Initial Screen For BO-ISIN Inquiry by DP.

### 8.4.1 BO ID-ISIN Inquiry

#### Activation Options

Menu Name	Purpose	Hot Key
BO -ISIN Inquiry > BO ID-ISIN	To inquire upon existing BO ID-ISIN account details which were set up in Online mode.	Alt + B > B

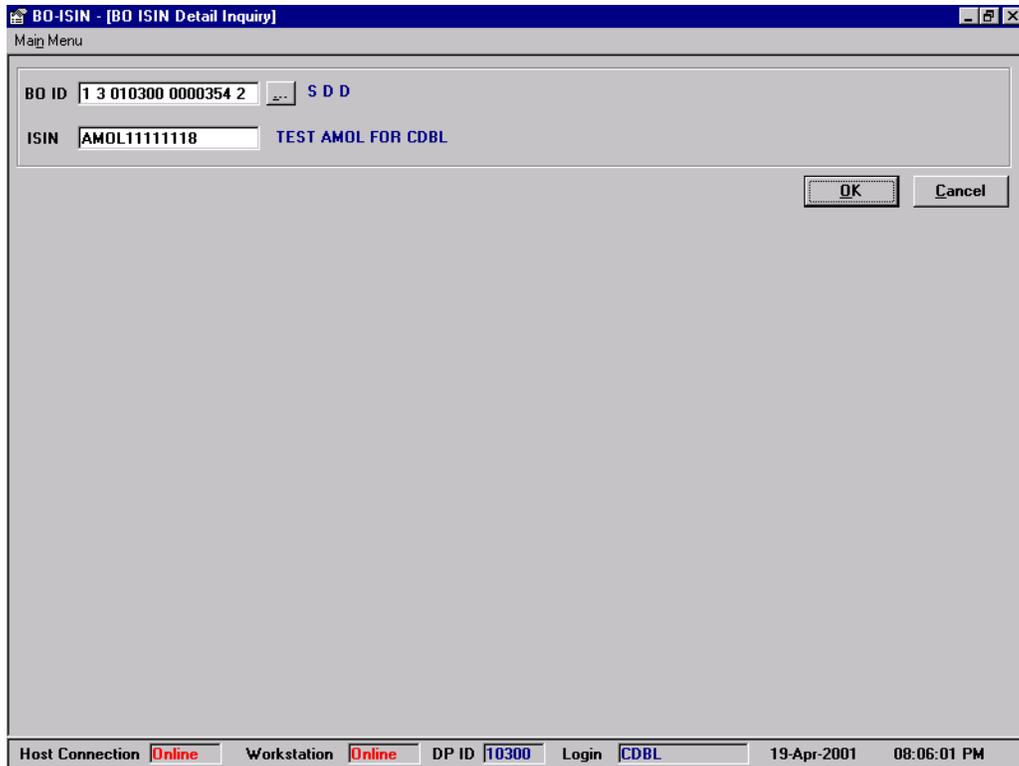


Figure 8.2. Screen for Online BO ID-ISIN Inquiry

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
BOID	Beneficiary Owner ID	M, Mo	BO ID must exist.
ISIN	ISIN on which inquiry is to be made.	Mo	If selected, ISIN needs to be specified and ISIN must exist in the system.

### Buttons Available

Name	Purpose	Hot Key
OK	To Inquire with the selected details.	Alt + O
Cancel	To cancel the Inquiry operation and return to the previous screen	Alt + C

On selecting the BO ID Option, a list of records that fulfil the given criteria are displayed. Detailed inquiry on a specific ISIN can be made by selecting one of the same.

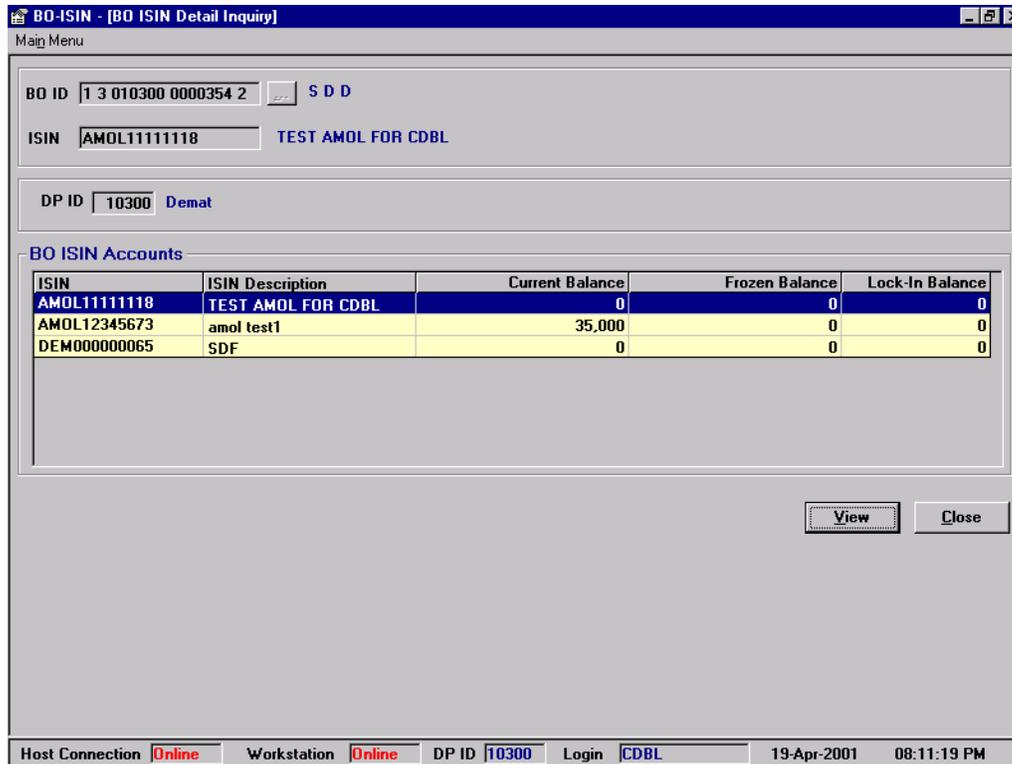


Figure 8.3. Shows Screen of BO ID-ISIN Account Details

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
DP ID	Identification Number of Depository Participant.	R	
ISIN	ISIN on which inquiry has to be made	R	
ISIN Description	Description of ISIN	R	
Current Balance	Current Balance of the selected BO ID – ISIN account	R	
Frozen Balance	Frozen Balance of the selected BO ID – ISIN account	R	
Lock-In Balance	Lock-In Balance of the selected BO ID – ISIN account	R	

### Buttons Available

Name	Purpose	Hot Key
View	To view the details for the selected record	Alt + V
Close	To return to the previous screen	Alt + C

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On selecting the both BO ID – ISIN Option, a detailed inquiry that fulfils the given criteria is displayed directly.

### Activation Options

Menu Name	Purpose	Hot Key
Basics	To display the Basic Details for the selected BO ID-ISIN Account.	Alt + A
Balances	To display the Details of Balances for the selected BO ID-ISIN Account.	Alt + B
Others	To display the Other Details for the selected BO ID-ISIN Account.	Alt + T

The screenshot shows a web application window titled "BO-ISIN - [BO ISIN Detail Inquiry]". The window contains the following fields and controls:

- BO ID:** 120103000000078 (with a dropdown arrow) and Shailendra Hirlekar
- ISIN:** DEM000000008 and Demat Securities 1
- DP ID:** 10300 and Shailendra Hirlekar
- Freeze Flag:** N NO FREEZE
- Suspend Flag:** 0 NO SUSPENSION
- Opening Date:** 18-Sep-2002
- Navigation Tabs:** Basics (selected), Balances, Others
- Close Button:** Close
- Status Bar:** Host Connection Online, Workstation Online, DP ID 10300, Login ADMIN, 25-Sep-2002 11:51:15 AM

Figure 8.4. Screen for Basics Detail of the BO ID-ISIN Account

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
Freeze Flag	Status of Freeze Flag for BO ID – ISIN Account. (N-NO,F-Full, P-Partial)	R	
Suspended Flag	Status of Suspended Flag for BO ID – ISIN Account (No=0,Debit=1,Credit=2, Debit and Credit=3)	R	
BO ISIN Status	Status of BO-ISIN Account	R	

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Field Name	Description	Field Type	Remarks
	(Active=1,Under Closure=2,Closed=3)		
Opening Date	Account Opening Date	R	

### Buttons Available

Name	Purpose	Hot Key
Close	To return to the previous screen	Alt + C

BO-ISIN - [BO ISIN Detail Inquiry]

Main Menu

BO ID: 1 3 010300 0000354 2 S D D

ISIN: AMOL11111118 TEST AMOL FOR CDBL

DP ID: 10300 Demat

Current Balance	0	Frozen Balance	0
Lock-In Balance Under Remat	0	Pending Demat Confirmation	0
EarMark	0	Available For Lending	0
Pledge Balance	0	Lent Balance	0
Lock-In Balance	0	Borrowed Balance	0
Pending For Elimination	0		
Pending Remat Confirmation	0		
Free Balance	0		

Basics Balances Others

Close

Host Connection Online Workstation Online DP ID 10300 Login CDBL 19-Apr-2001 08:22:31 PM

Figure 8.5. Screen for Balances of the BO ID-ISIN Account

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
Current Balance	Current Balance	R	
Lock in Balance Under REMAT	Lock in Balance Under REMAT	R	
Earmark Balance	Earmark Balance	R	
Pledge Balance	Pledge Balance	R	
Lock in Balance	Lock in Balance	R	
Pending for Elimination	Pending for Elimination	R	
Pending REMAT Confirmation	Pending REMAT for Confirmation	R	
Free Balance	Free Balance	C	

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Field Name	Description	Field Type	Remarks
Frozen Balance	Frozen Balance	R	
Pending DEMAT Confirmation	Pending DEMAT for Confirmation	R	
Available for Lending	Available for Lending	R	
Lent Balance	Lent Balance	R	
Borrowed Balance	Borrowed Balance	R	

Calculation formula for Free Balance is as follows: -

Free Balance = (Current Balance + REMAT under lock in Balance) –  
(Pending for REMAT Confirmation Balance+ Pending  
for Elimination Balance+ Lock in Balance+ Pledge  
Balance + Earmark)

### Buttons Available

Name	Purpose	Hot Key
Close	To return to the previous screen	Alt + C

Figure 8.6. Screen for Other Details of the BO ID-ISIN Account

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## Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
Today's Opening Balance	Today's Opening Current Balance	R	
Today's Debit Balance	Today's Total Debit Balance	R	
Today's Credit Balance	Today's Total Credit Balance	R	
Last Credit Balance	Last Credit Balance	R	
Last Debit Balance	Last Debit Balance	R	
Last Debit Date	Last Debit Date	R	
Last Trancode	Last Transaction Code	R	
Last Operator	Last Operator ID	R	
Last Modification Date	Last Modification Date	R	
Last Credit Date	Last Credit Date	R	

## Buttons Available

Name	Purpose	Hot Key
Close	To return to the previous screen	Alt + C

## 8.4.2 ISIN Inquiry

### Activation Options

Menu Name	Purpose	Hot Key
BO -ISIN Inquiry > ISIN	To inquire upon existing ISIN account details which were set up in Online mode.	Alt + B > I

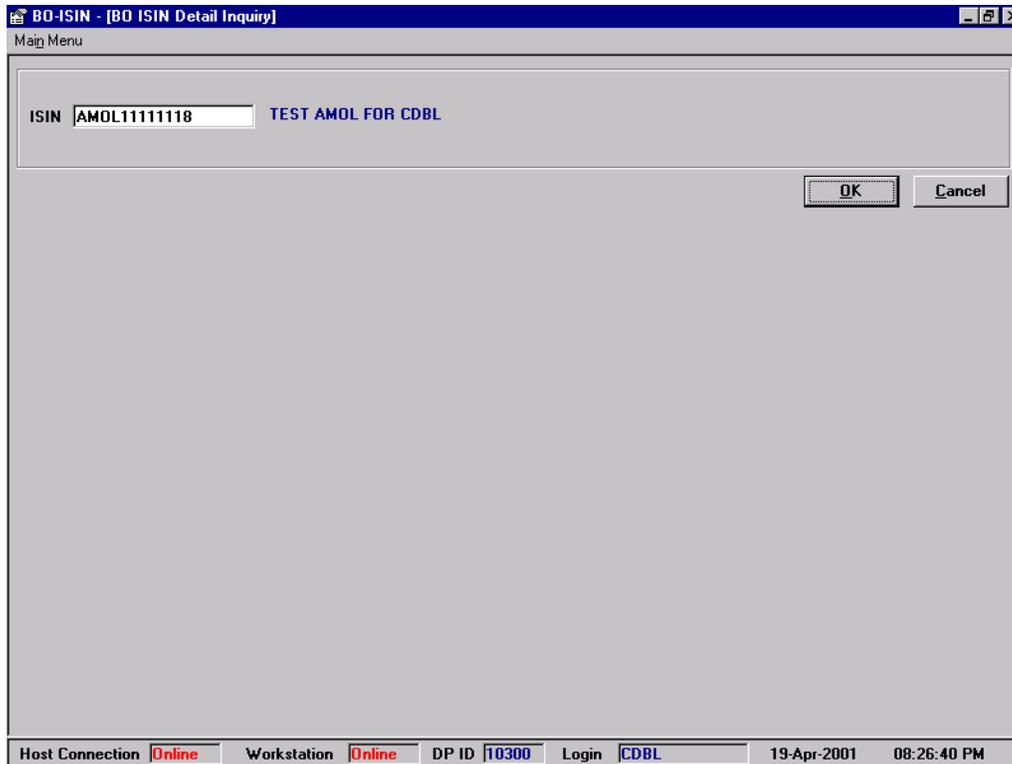


Figure 8.7. Screen for Online ISIN Inquiry

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
ISIN	ISIN on which inquiry is to be made.	M, Mo	ISIN must exist with status as Active.

### Buttons Available

Name	Purpose	Hot Key
OK	To Inquire with the selected details.	Alt + O
Cancel	To cancel the Inquiry operation and return to the previous screen.	Alt + C

On selecting the ISIN Option, a list of records that fulfil the given criteria are displayed. Detailed inquiry on a specific BO ID can be made by selecting one of the same.

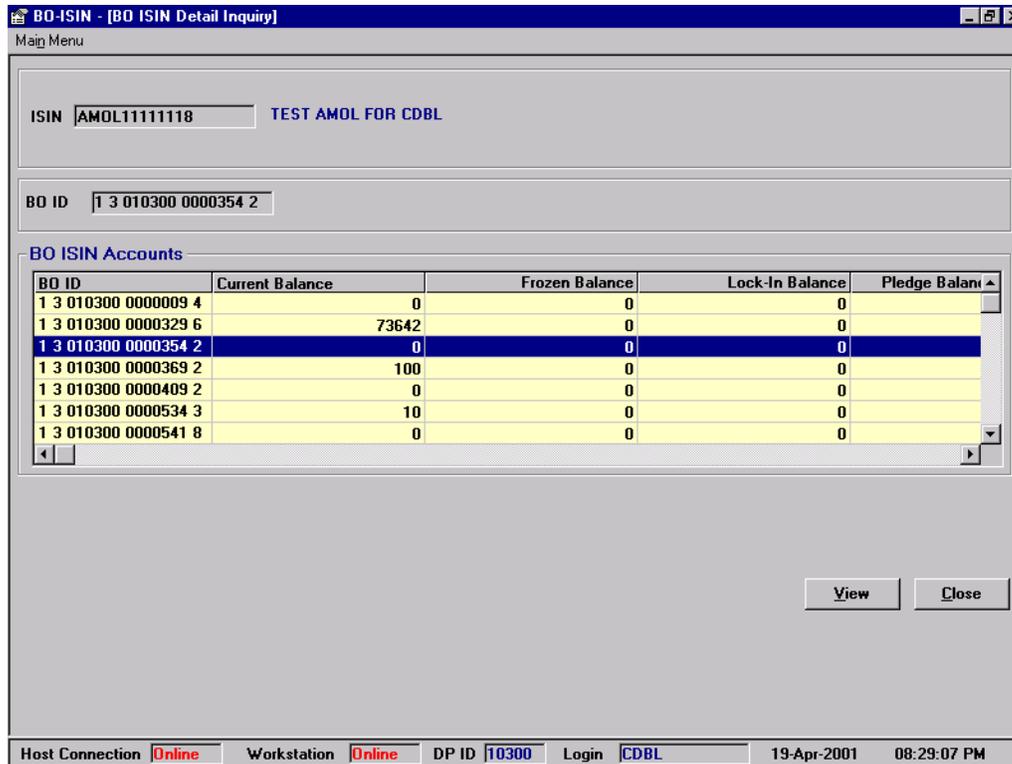


Figure 8.8. Shows Screen of BO ID-ISIN Account Details

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
BO ID	Identification Number of Beneficiary Owner.	R	BO ID must exist.
Current Balance	Current Balance of the selected BO ID – ISIN account	R	
Frozen Balance	Frozen Balance of the selected BO ID – ISIN account	R	
Lock-In Balance	Lock-In Balance of the selected BO ID – ISIN account	R	
Pledge Balance	Pledge Balance of the selected BO ID – ISIN account	R	

### Buttons Available

Name	Purpose	Hot Key
View	To view the details for the selected record	Alt + V
Close	To return to the previous screen	Alt + C

### Activation Options

Menu Name	Purpose	Hot Key
Basics	To display the Basic Details for selected BO ID-ISIN Account.	Alt + A
Balances	To display the Details of Balances for selected BO ID-ISIN Account.	Alt + B
Others	To display the Other Details for selected BO ID-ISIN Account.	Alt + T

Figure 8.9. Screen for Basics Detail of the BO ID-ISIN Account

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
Freeze Flag	Status of Freeze Flag for BO ID – ISIN Account. (N-NO,F-Full, P-Partial)	R	
Suspended Flag	Status of Suspended Flag for BO ID – ISIN Account (No=0,Debit=1,Credit=2, Debit and Credit=3)	R	
BO ISIN Status	Status of BO-ISIN Account (Active=1,Under Closure=2,Closed=3)	R	
Opening Date	Account Opening Date	R	

### Buttons Available

Name	Purpose	Hot Key
Close	To return to the previous screen	Alt + C

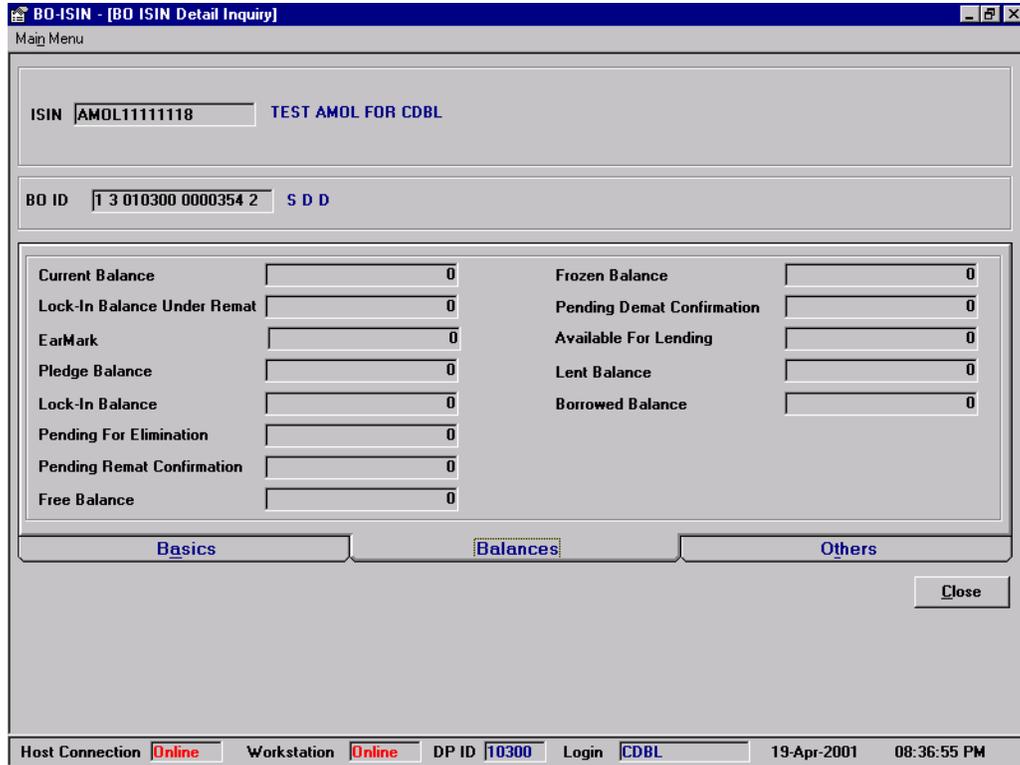


Figure 8.10. Screen for Balances of the BO ID-ISIN Account

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remark
Current Balance	Current Balance	R	
Lockin Balance Under REMAT	Lock in Balance Under REMAT	R	
Earmark Balance	Earmark Balance	R	
Pledge Balance	Pledge Balance	R	
Lock in Balance	Lock in Balance	R	
Pending for Elimination	Pending for Elimination	R	
Pending REMAT Confirmation	Pending REMAT for Confirmation	R	
Free Balance	Free Balance	C	
Frozen Balance	Frozen Balance	R	
Pending DEMAT Confirmation	Pending DEMAT for Confirmation	R	
Available for Lending	Available for Lending	R	
Lent Balance	Lent Balance	R	
Borrowed Balance	Borrowed Balance	R	

Calculation formula for Free Balance is as follows: -  
 Free Balance = (Current Balance + REMAT under lock in Balance) –  
 (Pending for REMAT Confirmation Balance+ Pending  
 for Elimination Balance+ Lock in Balance+ Pledge  
 Balance + Earmark)

### Buttons Available

Name	Purpose	Hot Key
Close	To return to the previous screen	Alt + C

The screenshot shows a software window titled "BO-ISIN - [BO ISIN Detail Inquiry]". It contains the following information:

- ISIN:  TEST AMOL FOR CDBL
- BO ID:  S D D
- Today's Opening Balance:
- Today's Debit Balance:
- Today's Credit Balance:
- Last Debit Balance:
- Last Credit Balance:
- Last Debit Date:
- Last Credit Date:
- Last Modification Date:
- Last Trancode:
- Last Operator:

Navigation tabs: Basics, Balances, Others. A Close button is located at the bottom right.

Status bar: Host Connection Online, Workstation Online, DP ID 10300, Login CDBL, 19-Apr-2001, 08:37:43 PM

Figure 8.11. Screen for Other Details of the BO ID-ISIN Account

### Field List

Field Type: U-Unique, M-Mandatory, A-Auto generated, Mo-Modifiable, N-Non Modifiable, R-Retrieved, C-Calculated

Field Name	Description	Field Type	Remarks
Today's Opening Balance	Today's Opening Current Balance	R	
Today's Debit Balance	Today's Total Debit Balance	R	
Today's Credit Balance	Today's Total Credit Balance	R	
Last Credit Balance	Last Credit Balance	R	
Last Debit Balance	Last Debit Balance	R	
Last Debit Date	Last Debit Date	R	
Last Trancode	Last Transaction Code	R	

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Field Name	Description	Field Type	Remarks
Last Operator	Last Operator ID	R	
Last Modification Date	Last Modification Date	R	
Last Credit Date	Last Credit Date	R	

### Buttons Available

Name	Purpose	Hot Key
Close	To return to the previous screen	Alt + C

## 8.5 Acknowledgements/Reports Available

### List of Reports

File Name	Type	Availability
Bo Holding Report for the ISIN	Request	This report gives an account of various balances held by a BO as on date
Dormant Account Reporting	Default	This report gives the details of those BOs who have not acted on their balances for 'N' days (parameter set by CDBL) after the last transaction date.
BO ISIN Posting Journal	Default	This report gives the details of debit and credit balances, opening and closing balances of a BOISIN account as on date
Security Account Balance per Shareholder	Request	This report gives the current balance, last update date – details of security account balance per shareholder for each ISIN and BO's holding the ISIN
Statement Of Account	Default	This report gives the details of trans-actions in a BO-ISIN account affected during Online and EOD processes